

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

E. Kelley

Serial No.: 09/992,893

Group Art Unit: not yet assigned

Filing Date: November 6, 2001

Examiner: not yet assigned

For: INTEGRATED SYSTEM SECURITY METHOD

Commissioner of Patents and Trademarks  
Washington, D.C. 20231**RESPONSE TO NOTIFICATION OF MISSING PARTS**

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application dated December 6, 2001, submitted herewith are the following:

- 1) Copy of Notice to File Missing parts of Nonprovisional Application;
- 2) Copies of two Declaration and Power of Attorney documents: one signed by the inventors F. Motika, P. Motika and E. Motika; the other Declaration and Power of Attorney document signed by inventor E. Kelley.
- 3) Copy of Transmittal letter with Certificate of Mailing under 37 CFR 1.10.
- 4) Copies of postcard receipts and copy of Express Mail certificate.

Withdrawal of the Notice to File Missing Parts and/or acceptance of this paper as a full response thereto is respectfully requested in view of the following:

This application was filed complete with two signed declaration and power of attorney documents on November 6, 2001. Included with this Response are copies of the transmittal letter with Certificate of Mailing, copy of the Express mailing certificate and a copy of the postcards included with the application listing the Declaration as being included. These papers indicate that the signed declaration and power of attorney documents were forwarded with the application papers and received by the U.S. Patent Office.

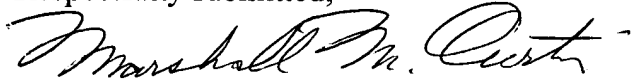
Therefore, the Notice to File Missing Parts was issued in error. The documentation shows that the application was filed complete and with all parts. If any of these originally filed papers have become disassociated from the file, please accept the attached copies with the copy of the postcard receipt as originals until the originally filed papers can be located.



Additionally, there should be no fee charged to the applicant for Missing Parts since the Notice was erroneously sent. Also, since the error was made by the Patent and Trademark Office there should be no requirement for a petition for an extension of time to extend the response period from February 6, 2002 until March 6, 2002 since the erroneous Notice should be withdrawn.

If necessary, for this response to be considered as timely filed, a conditional petition is made for a one-month extension of time. Please charge any deficiencies in the above including a One-Month extension of time fee if required, to attorney's deposit account number 50-2041.

Respectfully submitted,



Marshall M. Curtis

Registration No. 33,138

703-787-9400



30743

PATENT TRADEMARK OFFICE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## Patent Application Transmittal

Assistant Commissioner for Patents  
Washington, D.C. 20231



IBM DOCKET NO. FIS920010167  
IBM Corporation  
Intellectual Property Law  
Zip 482  
2070 Route 52  
Hopewell Junction, New York 12533

Sir:

Transmitted herewith for filing is the patent application of

Inventors: Edward E. Kelley, Franco Motika, Paul V. Motika, Eric M. Motika

For: **INTEGRATED SYSTEM SECURITY METHOD**

Enclosed are:

- ☒ 8 sheets of formal drawings consisting of 7 figures.
- ☒ An assignment of the invention to: International Business Machines Corporation, Armonk, New York 10504
- ☐ A certified copy of application Serial No.:
- ☒ An Information Disclosure Statement.
- ☐ A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27.
- ☒ A combined declaration and power of attorney
- ☐ A preliminary amendment.

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
For:	No. Filed	No. Extra
Basic Fee		
Total Claims	20 - 20 =	0
Indep. Claims	3 - 3 =	0
Multiple Dependent Claim Presented		

Other Than Small Entity	
Rate	Fee
	\$ 740.00
0 x \$18.00 =	\$ 0.00
0 x \$84.00 =	\$ 0.00
\$280.00	\$ 0.00
<b>TOTAL</b>	<b>\$ 740.00</b>

- ☒ Please charge Deposit Account 50-0629 in the amount of \$740.00. A duplicate copy of this sheet is enclosed.
- ☒ Commissioner is authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 50-0629. A duplicate copy of this sheet is enclosed.
  - ☒ Any additional filing fees required under 37 C.F.R. §1.16.
  - ☒ Any patent application processing fees under 37 C.F.R. §1.17.

## CERTIFICATE OF MAILING UNDER 37 CFR 1.10

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231 as "Express Mail Post Office to Addressee"

Mailing Label No. ET134022334US on November 6, 2001

Name of person mailing paper  
Karen Cinq-Mars  
Date 11/6/01  
Signature *Karen Cinq-Mars*

Respectfully submitted,

FOR: Edward E. Kelley, Franco Motika,  
Paul V. Motika, Eric M. Motika

BY: *Jay H. Anderson*  
Attorney: Jay H. Anderson  
Registration No.: 38,371  
Telephone No.: (845) 894-3667



DATE MAILED: NOVEMBER 6, 2001

REQUEST FOR EARLY NOTIFICATION OF SERIAL NUMBER

Docket No. F15920010167 In re: ☒ patent/ ☐ trademark application of  
E Kelley, E MOTIKA, P. MOTIKA, E MOTIKA  
For INTEGRATED SYSTEM SECURITY METHOD

EXPRESS LABEL: ET134022334US  
17 Pages in Specification 20 No. of claims [3 independent; 17 dependent]  
8 Sheets of Drawings FORMAL ☒ Abstract ☐ Specimens  
☒ Transmittal Sheet ☒ Fee Calculation Form  
☒ Combined Declaration and Power of Attorney ☐ Ver. Stmt/Sm Entity Status  
☒ Assignment ☒ Recordation Form Cover Sheet  
☒ Information Disclosure Statement ☒ PTO-1449 and associated art (10 docs.)  
☐ Priority Document(s) ☐ Other

☒ Fees \$ 740 Deposit Account No. (if applicable) SD-0629  
(740 filing fee; 40 Assignment charge;        Extra Claims fee)

SERIAL NO.       

Client Matter No. 00750469AA Atty: Asst. MRW/SH

DATE MAILED: NOVEMBER 6, 2001

REQUEST FOR EARLY NOTIFICATION OF SERIAL NUMBER

Docket No. F15920010167 In re: ☒ patent/ ☐ trademark application of  
E Kelley, E MOTIKA, P. MOTIKA, E MOTIKA  
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EXPRESS LABEL: ET134022334US  
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SERIAL NO.       

Client Matter No. 00750469AA Atty: Asst. SHAIKEM



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/992,893	11/06/2001	Edward E. Kelley	FIS920010167

McGuireWoods LLP  
Suite 1800  
1750 Tysons Boulevard  
McLean, VA 22102



CONFIRMATION NO. 5692

## FORMALITIES LETTER



\*OC00000007161847\*

Date Mailed: 12/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Edward E. Kelley*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/27/2002 AOSMAN1 00000052 502041 09992893

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